

## Elite t1ps Smaller Companies Income & Growth Fund

# APPLICATION

To invest in the Elite t1ps Smaller Companies Income & Growth Fund simply complete all relevant sections in this Application and return it to your Financial Advisor or direct to Elite Fund Administration at the address shown on the final page.



# Elite t1ps Fund – Application Form

## 1. Personal Details (BLOCK CAPITALS please)

Title	Surname
Forename(s)	
Permanent Address	
Postcode	
Date of Birth	
Designation (if applicable)	
Telephone (daytime)	

## 2. Personal Details of Joint Applicant

Title	Surname
Forename(s)	
Permanent Address	
Postcode	
Date of Birth	

Additional joint applicants (a maximum of 4 in total) must each sign and attach name and address details separately. Units/Shares cannot be registered in the name of a minor. Units/Shares should be registered in the name of an adult and designated with the minor's initials (eg. John Smith - account PBS). On attaining the age of majority, units/shares can be transferred to the designee upon receipt of the appropriate documentation.

## 3. Investment Details

Elite t1ps Fund Sub-Fund	Retail Shares (min. £500 per fund)	Monthly Savings - Retail Shares (min. £100pm)
Elite t1ps Smaller Companies Income & Growth Fund - Accumulation Shares	£	£ pm
Elite t1ps Smaller Companies Income & Growth Fund - Income Shares	£	
<b>Total Investment</b>	£	£ pm

## 4. Income Distributions (please complete if Income Shares selected)

Please complete the following boxes to indicate where any income should be paid, as all income is paid by BACS direct credit. You should ensure that the bank/building society account detailed can accept payments by this method.

Name and full address of Bank/Building Society		
Sort Code	Account Name	Account Number

Account must be in the name(s) of the Applicant(s). Documented proof of this must be sent with this application. (e.g. bank account statement or voided paying-in slip)

## 5. Declaration

- I/We confirm that I am/we are over 18 years of age.
- I/We confirm I/we have received, read and retained a copy of the relevant Simplified Prospectus document and that I/we have been offered a copy of the Prospectus and Manager's Reports for the Funds.
- I understand that Elite Fund Administration may require further information from me, or about me, to satisfy the requirements of the Money Laundering Regulations in force.

## Cancellation Rights

Under the Financial Services Authority's Conduct of Business Sourcebook cancellation rights will apply where the contract was arranged through an Independent intermediary, unless they hold an appropriate Customer Agreement with you or dealt on your behalf on an execution-only basis. A copy of the Prospectus is available, free of charge, on request. A copy of this completed application form is also available on request.

If Cancellation Rights **do** apply please tick this box

## Data Protection Act

The details you have provided will be held on computer by Elite Fund Administration, but will not be used for any purpose except to fulfil its obligations to shareholders.

First Applicant's Signature	Date
Joint Applicant's Signature	Date

# Elite t1ps Fund – ISA Application Form

## 1. Personal Details (BLOCK CAPITALS please)

Title	Surname	Forename(s)
Permanent Residential Address		
Postcode		
National Insurance Number*	Date of Birth*	
Telephone (daytime)		

If you do not have a National Insurance Number, please tick this box

\*Your National Insurance Number (NINO), or National Pension Number and Date of Birth must be quoted. Your NINO should be available on either your payslip, form P45 or P60, a letter from HM Revenue & Customs (or DWP), or on your pension order book.

## 2. Investment Details

I apply to subscribe to the Elite t1ps Fund Stocks and Shares ISA

Elite t1ps Fund Sub-Fund	Retail Shares (min. £500 per fund)	Monthly Savings - Retail Shares (min. £100pm)
Elite t1ps Smaller Companies Income & Growth Fund - Accumulation Shares	£	£ pm
Elite t1ps Smaller Companies Income & Growth Fund - Income Shares	£	
<b>Total Investment</b>	£	£ pm

Tax Year 20 / 20 and for each subsequent tax year until further notice

## 3. Income Distributions (please complete if income shares selected)

Please complete the following boxes to indicate where any income should be paid, as all income is paid by BACS direct credit. You should ensure that the bank/building society account detailed can accept payments by this method.

Name and full address of Bank/Building Society

Sort Code	Account Name	Account Number
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Account must be in the name(s) of the Applicant(s). Documented proof of this must be sent with this application. (e.g. bank account statement or voided paying-in slip)

## 4. Declaration

- I am 18 years of age or over.
- I have not subscribed and will not subscribe more than the maximum permitted in total to a cash and a stocks and shares ISA in the same tax year.
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown Employees Serving Overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform WAY Fund Managers if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- I authorise Elite Fund Administration
  - to hold my cash subscriptions, ISA investments, interest, dividends, and any other rights or proceeds in respect of those investments and any other cash,
  - to make on my behalf any claims to relief from tax in respect of ISA investments, and
  - on my request to transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments and any other cash.
- I confirm that I have not subscribed, and will not subscribe, to another Stocks and Shares ISA for the same tax year(s) that I subscribe to this Account.

- I confirm that all subscriptions made, and to be made, belong to me.
- I agree to the ISA Terms and Conditions. I declare that I have received, read and retained a copy of the relevant ISA Key Features document and that this application form has been completed to the best of my knowledge and belief.
- I understand that you may require additional information from me in accordance with money laundering regulations.

### Cancellation Rights

Under the Financial Services Authority's Conduct of Business Sourcebook, cancellation rights will apply where the contract was arranged through an independent intermediary, unless they hold an appropriate customer agreement with you, or dealt on your behalf on an execution-only basis. A copy of the Prospectus is available, free of charge, on request. A copy of this completed application form is also available on request.

If cancellation rights **do** apply, please tick this box

### Data Protection Act

The details you have provided, will be held on computer by Elite Fund Administration, but will not be used for any purpose except to fulfil its obligations to unitholders.

Applicant's Signature	Date
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**Please make sure you have:**

- Signed the Application Form.
- Made your investment requirements clear.
- Completed the separate Direct Debit Mandate for a monthly savings plan, if applicable.
- Enclosed a cheque for the appropriate lump sum amount, payable to '**WAY Fund Managers Limited**'.

**Anti-Money Laundering**

In order to comply with the UK law on money laundering, cheques must be drawn on your own account or a joint account with your spouse. If you ask your bank or building society to draw the cheque, they must state on the cheque that the funds have been drawn from an account in your name. For example, the payee would be '**WAY Fund Managers (Re: A. N. Other)**'. Alternatively, ask them to write your name and address on the reverse of the cheque and add the bank/building society stamp and signature to confirm the money is drawn from your account. For a monthly savings plan, please ensure that verification of bank details is supplied with this application form.

Please return this form, your cheque and, where applicable, the Direct Debit Mandate to your Financial Adviser or direct to Elite Fund Administration at the address below.

Intermediary Comments:

Intermediary Stamp:

Name of Registered Individual:

Promotion Code

**t1ps Investment Management IoM Limited**  
**4th Floor, 39 Athol Street**  
**Douglas**  
**Isle of Man**  
**IM1 1LA**  
Licensed by the Isle of Man Financial Supervision Commission

**Elite Fund Administration**  
**Cedar House, 3 Cedar Park, Cobham Road,**  
**Wimborne, Dorset BH21 7SB**  
Telephone: 01202 855856  
Facsimile: 01202 855850  
Registered No. 4011838 England and Wales  
Elite Fund Administration is a trading name of WAY Fund Managers Limited which is authorised and regulated by the Financial Services Authority. A member of IMA.